Electronic Structure and magnetism in Ir based double-perovskite $Sr_{2}CeIrO_{6}$

S. K. Panda 1 and I. Dasgupta 1,2

¹Centre for Advanced Materials, Indian Association for the Cultivation of Science, Jadavpur, Kolkata, 700032, India. ²Department of Solid State Physics,

Indian Association for the Cultivation of Science, Jadavpur, Kolkata, 700032, India

Abstract

The electronic structure and magnetism of Sr_2CeIrO_6 , an Ir-based double perovskite system has been investigated using first-principles calculations. We found that a strong spin-orbit coupling dictate the electronic and magnetic properties of this system. A small value of U along with SOC could open up a gap at the Fermi level, offering the possibility of novel $J_{eff} = \frac{1}{2}$ Mott quantum state. Our calculations reveal that the magnetic ground state is antiferromagnetic in agreement with the magnetization data and provide the value of spin and orbital moment for this system which is in agreement with the other isostructural Ir-based compound.

PACS numbers: 71.20.-b, 71.70.Ej, 75.50.Ee

I. INTRODUCTION

Until few years ago, the common belief has been that due to the extended nature of the 5d orbitals, electron correlation does not play any significant role in 5d transition metal oxides (TMO) and density functional theory (DFT) within local density approximation (LDA) or generalized gradient approximation (GGA) can explain the metallic ground state of these systems. However, recently there are reports of insulating ground state in some Ir-based oxides such as $Sr_2IrO_4^1$, $Na_2IrO_3^2$, $Sr_3Ir_2O_7^3$ etc. It has been shown that a combined effect of strong spin-orbit coupling (SOC) and onsite Coulomb correlation (U) can lead to an antiferromagnetic insulating ground state in such irridates with half-filled (5d⁵) Ir⁴⁺ ions^{4,5}. Since the interplay of SOC and U dictate the physics of irridates, it has gained lot of attention in last few years and many exotic phases like quantum spin liquid, topological insulator have been realized in these systems $^{6-9}$. Double perovskite systems with formula $A_2BB'O_6$ have attracted substantial attention during the last couple of decades due to many novel properties and it is therefore likely that a combined effect of SOC and U may lead to interesting properties in such systems. Recently within a model Hamiltonian approach, Chen $et\ al^{10}$ have shown the possibility of exotic phases induced by strong SOC in completely ordered double perovskite $A_2BB'O_6$ where B' has either $4d^1$ or $5d^1$ electronic configuration. The importance of spin-orbit coupling in Ir based double perovskites ${\rm La_2CoIrO_6}$ and ${\rm Sr_2CoIrO_6}$ have been studied by Kolchinskaya $et \ al^{11}$ using x-ray magnetic circular dichroism (XMCD) measurement and they find that the orbital moment is quite large and almost equal to the spin moment. In this context, Ir-based double perovskites may be interesting and warrant a detailed theoretical investigation. It would be more interesting to study a Ir-based double perovskite system where Ir is in 5d⁵ electronic configuration which can then be a potential candidate for exhibiting novel $J_{eff} = \frac{1}{2}$ Mott ground state. The novel $J_{eff} = \frac{1}{2}$ Mott state has already been suggested in many other iridates with half-filled (5d⁵) Ir 4+ ions like $Sr_3Ir_2O_7^{12}$, CaIrO₃¹³, Sr₂IrO₄⁴ and this recently discovered quantum state can give rise to various kind of interesting low-energy Hamiltonians for instance the highly anisotropic Kitaev model which may be important for quantum computing⁵. With this motivation, we chose a well-ordered double perovskite Sr₂CeIrO₆ where Ir is in 4+ charge state (d⁵ configuration) and Ir is the only magnetically active ion in this system.

 Sr_2CeIrO_6 crystallize in monoclinic structure and shows an antiferromagnetic transition

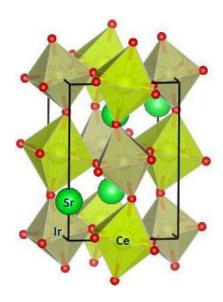


FIG. 1: Crystal structure of Sr_2CeIrO_6 .

at 21 K^{14} . Neutron powder diffraction (NPD) study¹⁵ did not see any magnetic Bragg peak and hence concluded that the magnetic moment at the Ir site is very small. NPD measurement of isostructural compound La₂CoIrO₆¹⁶ where Ir is in d⁵ state, also did not give any information of the magnetic ordering at the Ir site. However XMCD measurement¹¹ on La₂CoIrO₆ clearly see substantial magnetization at the Ir site and the estimated spin and orbital moments are respectively 0.20 μ_B and 0.18 μ_B per Ir. This difference between NPD and XMCD results is attributed to the low neutron scattering cross section for Ir. Since neutron diffraction study did not provide any insight to the magnetic ordering and no XMCD measurements are available for Sr₂CeIrO₆, a theoretical investigation will therefore be very useful to determine the type of magnetic ground state in Sr₂CeIrO₆. In view of the above, we have investigated the magnetic properties of Sr₂CeIrO₆ within the density functional approach and find the system to be spin-orbit coupling driven Mott-Hubbard antiferromagnetic insulator where a novel $J_{eff} = \frac{1}{2}$ state can be realized. The remainder of the paper is organized as follows. In section II we shall describe the computational details and the crystal structure of Sr₂CeIrO₆. Finally section III will be devoted to the presentation and discussion of the results of our calculations.

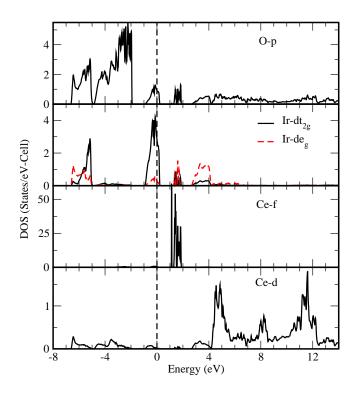


FIG. 2: Partial Density of States for non-spin polarized configuration.

II. COMPUTATIONAL DETAIL AND CRYSTAL STRUCTURE

All the calculations reported in this work are carried out using full potential linearized augmented plane wave (FPLAPW) method as embodied in WIEN2k code¹⁷. The atomic sphere radii(R_{MT}) of Sr, Ce, Ir and O are chosen to be 2.37 a.u., 2.22 a.u., 2.07 a.u., and 1.69 a.u. respectively. To achieve energy convergence of eigenvalues, the wave functions in the interstitial region were expanded in plane waves with a cut-off $R_{MT}k_{max}$ =7, where R_{MT} denotes the smallest atomic sphere radius and k_{max} represents the magnitude of the largest k vector in the plane wave expansion. The valence wave functions inside the spheres are expanded up to l_{max} =10 while the charge density was Fourier expanded up to G_{max} =12. The Brillouin-zone integration was done with a modified tetrahedron method¹⁸ using 130 special k points in the irreducible part of the Brillouin-zone to achieve self-consistency. Exchange and correlation effects are treated within the DFT using LSDA with parameters of Moruzzi, Janak and Williams¹⁹ and a Hubbard U was included in the framework of LSDA+U^{20,21}. A moderate value for the effective on-site Coulomb interaction $U_{eff} = 2.0$ eV is taken to describe the effect of correlation. The effect of spin-orbit coupling was treated using a

TABLE I: Energy of FM and AFM state along with the spin and orbital moments. Energy for FM state is assumed to be zero. For the LSDA+U+SOC calculations, orbitals moment of Ir is written in the parenthesis.

		Energy	Spin (Orb) Moment (μ_B)			
		(meV/fu)				
			Ir	О	Int	Tot
LSDA+U	FM	0.0	0.57	0.06	0.21	2.0
	AFM	27.21	0.44	0.0	0.0	0.0
LSDA+U+SOC	FM	0.0	0.44 (0.40)	0.04	0.21	1.53
	AFM	-9.72	0.40 (0.42)	0.0	0.0	0.0

second-variational scheme.

Sr₂CeIrO₆ crystallize with a monoclinic symmetry in the space group $P2_1/n$. The deviation from the ideal double perovskite structure is the tilting of the corner-sharing CeO₆ and IrO₆ octahedra which break the cubic symmetry and also the elongation of the Ce-O bond length to 2.2 Å at the expense of Ir-O bond length which become 2.0 Å. The tilting of the two octahedra in opposite direction make the Ir-O-Ce angle to be 156°. There is a little distortion in the octahedra in terms of angles but the bond-length with O ions are all equal. The crystal structure is shown in Fig. 1. Unit cell contain two formula units. Coordinates of the two equivalent Ir, located at 2c site are (0.0, 0.5, 0.0) and (0.5, 0.0, 0.5). Since the distance between this two Ir is quite large (5.82 Å), they could only interact via O-Ce-O path. All the calculations are performed with the experimental atomic positions and lattice parameters a = 5.8180 Å, b = 5.8402 Å, c = 8.2355 Å, and $\beta = 90.225^{\circ 15}$.

III. RESULTS AND DISCUSSION

We have analyzed the electronic structure of non-spin polarized LDA calculations to get an estimate of the charge state of Ce and Ir ions and the octahedral crystal field splitting. The calculated partial density of states (Fig. 2) shows that both Ce-d and Ce-f derived states are completely empty. This confirm that in the ionic limit, electronic structure is consistent with the 4+ charge state of Ce in agreement with the experiment¹⁵ and hence Ce

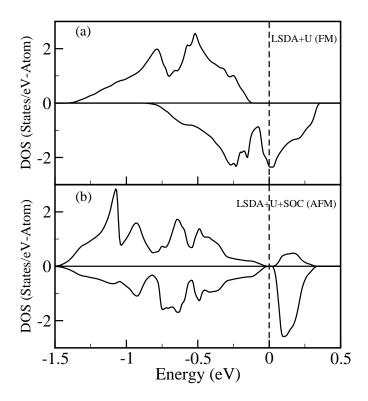


FIG. 3: Partial Density of States of Ir-d of both the spin channels for (a) FM ground state within LSDA+U and (b) AFM ground state within LSDA+U+SOC.

will be magnetically inactive in this system. Ir is therefore expected to be in 4+ (d⁵) charge state. The partial density of states (PDOS) of Ir-d (Fig. 2) reveal that the Ir-d e_g ($x^2 - y^2$ and $3z^2 - 1$) states are empty while the t_{2g} (xy, xz and yz) states are partially occupied consistent with the 4+ charge state of Ir and the crystal field splitting between t_{2g} and e_g state is appreciable (\sim 2.5 eV). Further there is substantial hybridization between Ir-d and O-p states. Since IrO₆ octahedra are distorted and also tilted by an angle of 12° from the c-axis, this can lead to some admixture between t_{2g} and e_g states. However Fig. 2 clearly reveal that the mixing between t_{2g} and e_g states are very little. Since t_{2g} and e_g states are separated by a large gap (2.5 eV) and they hardly mix, one can assign an effective angular quantum number $t_{eff} = 1$ for the t_{2g} manifold as in Sr₂IrO₄⁴. We also note that the tilting angle of IrO₆ octahedra in Sr₂IrO₄ is $11^{\circ 22}$.

We have next considered the magnetic properties of Sr_2CeIrO_6 . Our LDA calculations reveal that the magnetic solution can only be stabilized in the presence of Hubbard U at the Ir site. The results of our calculations for the ferromagnetic and antiferromagnetic

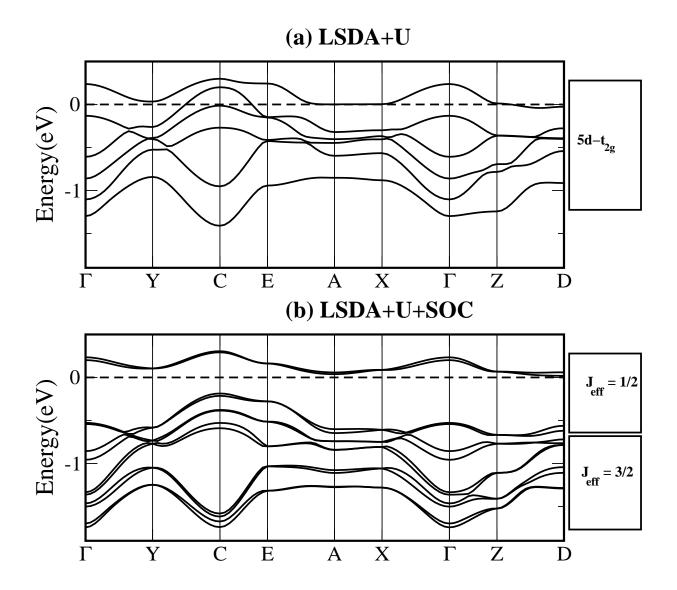


FIG. 4: Band dispersion along various high symmetry directions in the AFM state, calculated within (a) LSDA+U and (b) LSDA+U+SOC approach.

arrangement of Ir spins are summarized in Table. I. From Table I we gather that the half metallic ferromagnetic state (see Fig. 3-(a)) with integral magnetic moment is stable when the calculations are carried out in the framework of LSDA+U method. This can be easily understood as in the spin polarized calculation, the t_{2g} states in the majority spin channel are completely occupied while it is partially filled in the minority spin channel leading to a stable half metallic state as illustrated in Fig 3-(a). However upon inclusion of SOC not only the system become insulating (see Fig. 3-(b)) but the AFM state become the stable solution in agreement with the experiment. Table I reveal that the spin and orbital moment are almost equal in magnitude and parallel to each other, establishing the importance of

SOC as in other irridates. The insulating gap is calculated to be 30 meV. It is interesting to note that recent XMCD measurement¹¹ for isostructural compound La₂CoIrO₆ also see an equal spin and orbital moment along the same direction at the Ir site.

In the following we argue that the importance of SOC and insulating solution can be rationalized by invoking $J_{eff} = \frac{1}{2}$ state. In Fig. 4 we show the LSDA+U and LSDA+U+SOC band dispersion for the AFM ground state. The LSDA+U band dispersion near Fermi level displayed in Fig. 4-(a) exhibit six bands from two Ir atoms in the unit cell, arising from the t_{2q} manifold. As expected for the d⁵ configuration of Ir, in the majority spin channel the t_{2g} states of one Ir will be completely occupied while for the other it will be 2/3 occupied leading to a metallic AFM state. A significant change is observed in the band-dispersion upon inclusion of SOC (see Fig. 4-(b)) where we have a manifold of twelve SOC bands arising from the t_{2g} up and dn states of two Ir. In the limit of strong SOC the t_{2g} orbitals with an effective quantum state $l_{eff}=1$ form $J_{eff}=\frac{3}{2}$ quartet and $J_{eff}=\frac{1}{2}$ doublet. Here $J_{eff} = \frac{1}{2}$ doublet would be energetically higher than the $J_{eff} = \frac{3}{2}$ bands, since $J_{eff} = \frac{1}{2}$ is produced from the $J_{5/2}$ manifold due to large crystal field splitting. Therefore we clearly see in Fig. 4-(b) that a branch of total eight bands of two Ir which form $J_{eff} = \frac{3}{2}$ manifold while the remaining four bands belong to $J_{eff} = \frac{1}{2}$ manifold. Among the total 10 Ir-d electrons in the unit cell, 8 electrons completely occupy the $J_{eff} = \frac{3}{2}$ bands and hence $J_{eff} = \frac{1}{2}$ bands are half-filled. Therefore a small but finite U can easily split these half-filled band into upper and lower Hubbard bands, yielding a Mott insulating state (see Fig. 4-(b)). We note that such a mechanism was suggested for $\mathrm{Sr_2IrO_4}^4$ as well as $\mathrm{CaIrO_3}^{13}$ where $\mathrm{J}_{eff}=\frac{1}{2}$ state was realized. We however note that the spin and orbital moments are calculated to be nearly equal in contrary to the expectation from a $J_{eff}=\frac{1}{2}$ state where the orbital moment is expected to be twice to the spin moment. The deviation may arise due to the tilting and the distortion of the octahedra as well as because of the Ir-d and O-p hybridization.

In summary, our calculations find AFM ground state of Sr_2CeIrO_6 and establish that strong SOC dictate both the electronic and magnetic properties of this system. We also report the possible manifestation of a novel $J_{eff} = \frac{1}{2}$ Mott state in a Ir-based double perovskite system.

¹ G. Cao, J. Bolivar, S. McCall, J. E. Crow, and R. P. Guertin, Phys. Rev. B **57** (1998), R11039.

- ² Yogesh Singh and P. Gegenwart, Phys. Rev. B 82 (2010), 064412.
- ³ G. Cao, Y. Xin, C. S. Alexander, J. E. Crow, P. Schlottmann, M. K. Crawford, R. L. Harlow, and W. Marshall, Phys. Rev. B 66 (2002), 214412.
- ⁴ B. J. Kim, Hosub Jin, S. J. Moon, J.-Y. Kim, B.-G. Park, C. S. Leem, Jaejun Yu, T. W. Noh, C. Kim, S.-J. Oh, J.-H. Park, V. Durairaj, G. Cao, and E. Rotenberg, Phys. Rev. Lett. **101** (2008), 076402.
- $^5\,$ G. Jackeli and G. Khaliullin, Phys. Rev. Lett. ${\bf 102}$ (2009), 017205.
- ⁶ Michael J. Lawler, Arun Paramekanti, Yong Baek Kim, and Leon Balents, Phys. Rev. Lett. 101 (2008), 197202.
- ⁷ Tusharkanti Dey, A. V. Mahajan, P. Khuntia, M. Baenitz, B. Koteswararao, and F. C. Chou, Phys. Rev. B 86 (2012), 140405.
- ⁸ Atsuo Shitade, Hosho Katsura, Jan Kuneš, Xiao-Liang Qi, Shou-Cheng Zhang, and Naoto Nagaosa, Phys. Rev. Lett. 102 (2009), 256403.
- ⁹ Hong-Chen Jiang, Zheng-Cheng Gu, Xiao-Liang Qi, and Simon Trebst, Phys. Rev. B 83 (2011), 245104.
- ¹⁰ Gang Chen, Rodrigo Pereira, and Leon Balents, Phys. Rev. B 82 (2010), 174440.
- A. Kolchinskaya, P. Komissinskiy, M. Baghaie Yazdi, M. Vafaee, D. Mikhailova, N. Narayanan, H. Ehrenberg, F. Wilhelm, A. Rogalev, and L. Alff, Phys. Rev. B 85 (2012), 224422.
- ¹² B M Wojek, M H Berntsen, S. Boseggia, A. T. Boothroyd, D. Prabhakaran, D. F. McMorrow, H. M. Rønnow, J. Chang, and O. Tjernberg, arXiv: 1207.1556 (2012).
- $^{13}\,$ Alaska Subedi, Phys. Rev. B $\bf 85$ (2012), 020408.
- ¹⁴ D Harada, M Wakeshima, and Y Hinatsu, Journal of Solid State Chemistry **145** (1999), 356.
- D Harada, M Wakeshima, Y Hinatsu, K Ohoyama, and Y Yamaguchi, J. Phys.:Condens. Matter 12 (2000), 3229.
- ¹⁶ N. Narayanan, D. Mikhailova, A. Senyshyn, D. M. Trots, R. Laskowski, P. Blaha, K. Schwarz, H. Fuess, and H. Ehrenberg, Phys. Rev. B 82 (2010), 024403.
- ¹⁷ P Blaha, K Schwarz, P Sorantin, and S B Trickey, Comput. Phys. Commun. **59** (1990), 399.
- $^{18}\,$ Peter E. Blöchl, O. Jepsen, and O. K. Andersen, Phys. Rev. B $\mathbf{49}$ (1994), 16223.
- ¹⁹ V L Moruzzi, J F Janak, and A R Williams, <u>Calculated electronic properties of metals</u>, Pergamon, New York, 1980.
- ²⁰ V. I. Anisimov, I. V. Solovyev, M. A. Korotin, M. T. Czyżyk, and G. A. Sawatzky, Phys. Rev.

- B **48** (1993), 16929.
- $^{21}\,$ A. I. Liechtenstein, V. I. Anisimov, and J. Zaanen, Phys. Rev. B ${\bf 52}$ (1995), R5467.
- ²² M. K. Crawford, M. A. Subramanian, R. L. Harlow, J. A. Fernandez-Baca, Z. R. Wang, and D. C. Johnston, Phys. Rev. B 49 (1994), 9198.